# **BOA NEWSLINE**

A newsletter brought to you by the Alabama Board for Registration of Architects

MARCH 2022

#### **Top Stories**



Qualifications **Based Selection** 



The Chairs Column



A Perspective



The Complaint **Process** 

#### **BOA Launches New Website**

The BOA Website has been redesigned and is more mobile device adaptable, provides a rolling homepage, offers new PDF fillable forms, organized for ease of use, and information expanded.

Take a look now at www.boa.alabama.gov (Tips: clear your browsing history to ensure you are taken to current website paths; try different web browsers to see your ideal view).

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# In Every Issue

Handbooks and Registration, it's all here.

ARCHITECTS & INTERNS

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Calendar **Staff Members** 

**Disciplinary Actions Statistical Snapshots** 

**APPLICANTS** 

The ARE **Outreach** 



CONSUMERS

#### Chair's Column



My hope is that 2022 is a new year of promise, health, and thankfulness. (With 2020/2021 in the rear-view mirror!) I am personally thankful for the opportunity you have given us regarding the regulatory responsibilities of this state. All six of your Board members take this job seriously, and we are committed to representing you well. In fact, it has been said, across the country, that the Alabama Board has been looked upon as a leader and pioneer in the areas of NCARB practice. You may be surprised to know that in 1993, Alabama was one of the first states to enact legislation requiring CE credits for licensure renewal. Due to the hard work of previous Board members, the Alabama Legislature has enacted law to allow funds given by the Board each year to assist in the education of professional practice to both Tuskegee and Auburn's architectural departments. The Board also provides funds for students' registration fees at both schools to establish NCARB Records as well as annual dues so that they can begin their AXP requirements.

Our state has been represented well by great leaders who came before us, laying the foundation we stand on today. I think everyone would agree that the great work done by previous board members has made it easier for us to conduct our business. I believe it's fitting to recognize those who have served us so well over the past twenty years:

# South District

# Mac Walcott (2004-16) Courtney Brett (2016-19) Sted McCullough (2019-current)

# **Central District**

Renis Jones (1987-08) Dan Bennett (2008-current)

#### **Central District**

Frank E. Litchfield, III (1999-03) Jimmy Seay (2003-current)

#### **North Central District**

Joseph Byrum (2002-10) Marzette Fischer (2010-18) Emily Coe (2018-current)

# **North Central District**

Chuck Penuel (2004-12)
Patrick Davis (2012-17)
Nolanda Hatcher (2018-current)

# North District

Billy Herrin (1988-04)
Kristine Harding (2004-16)
Mike Chapman (2016-current)

If you know any of these previous or current long-standing board members, please send them a note or thank them in person for their tireless efforts and leadership. We wouldn't be where we are today without them!

On behalf of the Board, thank you for entrusting us with the legislative duties of this state.



Mike Chapman

# **BOA Launches New Web Site (cont'd from front page)**



It was important that the new website include an expanded news tab that includes news releases, broadcast emails sent (by date and subject), newsletters, and other publications. Please see a recent news release regarding proposed amendments of Rules and Regulations <a href="https://example.com/here.">here.</a> Any proposed change to Rules will be advertised under this section of the website.

Broadcast emails are sent to the licensees' email address of record. If you are not receiving broadcast emails, please make sure that emails from boa.alabama.gov are authorized to avoid being diverted to junk. If you are not sure if your email is current, please contact Board staff <a href="here">here</a> to confirm we have your correct address on file. All broadcast emails are posted <a href="here">here</a> as well.

Please make the news tab at <a href="www.boa.alabama.gov">www.boa.alabama.gov</a> a favorite to stay current on BOA news.

# **A Perspective From The Executive Director**



Having served as your Executive Director for now two years, I humbly offer a perspective from fresh eyes to the Board of Architects as well as through the lens of a professional regulator with twenty-seven years' experience. Did you know that in State regulatory circles your Board has a long history and reputation for being a Board that does the right thing consistently? It's clear architecture is a prestigious profession. It should be no surprise that your licensure Board is held in high esteem by legislators, auditors, and sister agencies and directors. This doesn't just happen, it takes the leadership and commitment of Board Members over time. I have licensed twelve different professions during the course of my career (specializing in multiple board management) and because of that experience, I KNOW how remarkable your Board Members truly are. Without exception, they take their charge very seriously. It's not always easy to serve on a regulatory Board. In fact, a prior Board member told me this year, "I'd rather be thrown into a briar patch than serve on the Board again". It takes a lot of time and carries the responsibility for making policy and decisions impacting the profession for the ultimate relief of consumers. Although the job of Board Member for a regulatory body can many times be a thankless one, your Board Members are determined to make a difference. They have demonstrated to me how much they care about the profession, the protection of the public, and the Board by putting in the work without complaint, being decisive, and bringing humanity to the regulatory process.

Cue Aretha Franklin's, "R-E-S-P-E-C-T" for your two prior Executive Directors, Cindy McKim (27 Years of service) and Elizabeth Bern (4 years of service). Each have the respect of many, but did you know how respected they are within the profession of regulators such as myself? I had the pleasure of meeting with each of them through the Alabama Association of Regulatory Boards where I witnessed first-hand their talent and leadership. Their professional caliber is certainly in keeping with your Board Members and your profession.

I have been impressed with the long standing good relationships BOA has established with allied professions, agencies, and associations. These solid connections only happen by thoughtful planning and effort. We enjoy this great asset now because of the vision of the Board and prior Staff.

Your Board's reputation and history appealed to me strongly enough to step into the arena to be considered for the position of your Executive Director. I was motivated to work with professionals of the highest stratum in the next chapter of my career. During these last two years, I got to know you—the licensees like I probably never would have in an ordinary time. Specifically, the pandemic accelerated my experience as your Executive Director by cross training of administrative duties, adapting to and managing unprecedented concerns, and most importantly getting to know my licensees with a new depth. You have been so very pleasant to work with, even during a crisis. You are absolutely the professionals I suspected you would be when I embarked on this journey.

In conclusion, there are four pillars to my perspective on BOA respectfully offered here: Professional Licensees, Professional Board Members, Professional Staff, and Strong Professional Relationships. You might detect a theme... Though these pillars sound fundamental, they are not common. BOA is unique in many ways and a true gift empowering me to do my job with a greater ease and the utmost appreciation. I look forward to hearing your perspectives as we continue the good work of the Alabama Board of Architects together.



In Service.

Paula Pilgreen

Executive Director

#### **BOA Staff**

#### PAULA PILGREEN, EXECUTIVE DIRECTOR

Contact <u>here</u> for: Law, Legislation, Rules and Regulations, Publications, Board Meetings, and other Executive Inquiries.

# **DEBBIE WHITMAN, OPERATIONS MANAGER**

Contact <u>here</u> for: Complaints, Disciplinary Actions, Public Outreach, Certificate of Authorization, Construction Administration, and Legal Research.

#### TARINA MOORES, LICENSING AGENT

Contact <u>here</u> for: Initial Applications for Licensure, Renewal Applications for Licensure, Applications for Emeritus Status, Change of Address/Name, Request for Replacement License, Request for Mailing Labels, License Verification, and other Licensure Inquiries.

#### **New ARE Licensees**



# Congratulations Are In Order for 43 New Licensees who passed the ARE between May 1, 2019 thru December 31, 2021.

Randy J. Crocker, Fairhope, AL Qian Dong, Birmingham, AL Kyungsun J. Orr, Mobile, AL Christopher S. Terrell, Huntsville, AL Samuel A. Moffett, Mountain Brook, AL Clayton D. Dodd, Auburn, AL Samantha Speed Parr, Birmingham, AL Davis A. Campbell, Montgomery, AL Curtis M. Reed, Pensacola, FL Caroline E. Kesel, Chicago, IL Ryan J. Stechmann, Vestavia Hills, AL Andrew C. Bryant, Birmingham, AL Joseph D. Brown, Dallas, TX Walker Waughtal, Jackson, MS Ryan Louis Mura, III, Birmingham, AL Carlos R. Hernandez, Birmingham, AL Michael P. Lewandowski, Birmingham, AL Olivia A. Backer, Birmingham, AL Sarah G. Godwin, New York, NY John A. McDaniel, Birmingham, AL Michael Ross Allen, Arab, AL

William Kyle Stover, Birmingham, AL Charles W.D. Smith, Birmingham, AL Tyler P. Baumann, Birmingham, AL Mark W. Riley, Montgomery, AL Hannah W. Mura, Mountain Brook, AL Stephanie Brown, Birmingham, AL Waithera Janine Mwenja, Birmingham, AL Aaron Ria Neill, Birmingham, AL Christine Robbins, Huntsville, AL Daniel M. Mars, Birmingham, AL Tanner J. Backman, Birmingham, AL Benjamin C. Webster, Birmingham, AL April Kaylee Cofer, Montgomery, AL Joel B. Wasser, Huntsville, AL Elizabeth A. Sellers, Brooklyn, NY Kipp L. Trawick, Foley, AL Michael R. Johnson, Madison, AL Mary S. Morgan, Birmingham, AL Kendra L. Mayton, Auburn, AL Jordan Staples, Millbrook, AL Tawana McGinnis, Lawrenceville, GA

#### Exam Results



Mary E. Tomlin, Atlanta, GA

# ARE Results for Alabama Candidates 2020 & 2021

Total 5.0 ARE Divisions Passed	157
	137
Project Planning & Design	7
Programming & Analysis	34
Project Development & Documentation	29
Project Management	26
Practice Management	30
Construction & Evaluation	31

Total 5.0 ARE Divisions Failed	120
Project Planning & Design	4
Programming & Analysis	26
Project Development & Documentation	23
Project Management	27
Practice Management	27
Construction & Evaluation	13



#### **Alabama Fire College**

March 25th, April 22nd, May 6th, & July 22nd—Tuscaloosa

#### **Code Officials Association of Alabama Annual Meeting**

August 16th—17th—Orange Beach

#### AIA Montgomery—CEU-n-AUG

August 18th—Montgomery

#### **AIA Annual Convention**

October 15th—17th—Gulf Shores

## **North Alabama Code Officials Annual Meeting**

November 4th—5th—Decatur

#### **North Alabama Code Officials Luncheon**

December 10th—Decatur

#### Calendar



#### License Maintenance Schedule 2022

March 31st-Last Day to Renew Late

April 1st —COA Renewal Launches

April 30th —Last Day to Renew COA

November 1st —December 31st—License Renewal Launches

December 31st —Individual Licenses Expire

# **Remaining Board Meeting Dates 2022**

May 10th—10:00 a.m.

100 North Union Street

3rd Floor Conference Room

Montgomery, AL 36130

#### August 9th—10:00 a.m.

100 North Union Street

3rd Floor Conference Room

Montgomery, AL 36130

# November 14th—10:00 a.m.

North Central District Nomination Meeting & Board Meeting

Birmingham, AL (Location TBD)

# December 2nd—10:00 a.m.

Central District Nomination Meeting & Board Meeting

100 North Union Street

3rd Floor Conference Room

Montgomery, AL 36130

The nature and purpose of Board Meetings is to conduct Board business. Meetings are open to the public for observation in accordance with the Alabama Open Meetings Act. The Chair may call special Board Meetings or reschedule as needed. Any change to the above dates will be advertised in advance on the BOA web site <a href="here">here</a> and on the Secretary of State's website <a href="here">here</a> in accordance with the Alabama Open Meetings Act.

#### **Qualifications Based Selection for Architects**



Wouldn't it be nice if Architects were hired solely based on professional qualifications? The Board thinks so. In 2010 Qualifications Based Section (QBS) was adopted by Rule and Regulation. Specifically, Administrative Code 100-X-5-.11 states, "Architects are encouraged to seek professional employment on the basis of qualifications and competence for proper accomplishment of the work. This procedure restricts the architect from submitting a price for services until the prospective client has selected, on the basis of qualifications and competence, one architect or firm for negotiations." This Rule was adopted with the aim that architects' qualifications are the priority over price for optimal public protection.

So, how does this look in the real world where understandably clients are keenly interested in the financial bottom line? Architects will typically have to educate their prospective clients on the importance of this crucial first step of a project. The Board office is happy to field any questions regarding this rule as well. The professional service of architecture is unlike obtaining "bids" on other services due to its complex nature and the impact on the health, safety, and welfare of the public. Therefore, an architect chosen on price alone could result in reduced service/safety and an unsatisfactory result for the client including potentially higher costs to the owner in the long run.

If you have an engineer in your firm and/or are jointly working on a project, it is imperative that the Practice Act for Engineers also be complied with on this matter. Otherwise, you could be jeopardizing their license with the Board of Engineers who have adopted QBS requirements in their statute. Don't place yourself in a bad situation either by aiding and abetting the illegal practice of engineers.

The Takeaway: Architects should not bid their services on projects. Rather, architects should be hired based on qualifications—the public will be better served and the profession will be better for it.

## **The Complaint Process**



The Board of Architects (BOA) is mandated to protect the public's health, safety, and welfare within the practice of architecture in Alabama by registering and regulating architects. This charge also carries with it rules of fairness for all. The Board must balance acting when it is warranted while adhering to the principles of due process. Procedures have been established to achieve both.

The first step, we must receive a **written** complaint to investigate. Assumptions are sometimes made that the Board is aware of certain individuals operating without a license or otherwise illegally when, in fact, chances are the matter has simply not been reported to the Board. The Board does not, "pick and choose" who to investigate. Rather, the Board opens an investigation upon receipt of a written complaint received through the Board office.

The Board does not investigate anonymous complaints. This is to observe the legal notion that everyone has the right to face their accuser. Complainants who are willing to sign their name and, in some cases, provide testimony are essential to the process.

Complaints should be filed with the Board office directly. The rationale for filing complaints with the Board office instead of going straight to a Board Member is to protect the vote of the Board Members who are to serve as a fair and impartial jury should the case come before them.

Upon receipt of a written complaint and a preliminary review by the Executive Director (to ensure the allegation is within the Board's purview), the investigative process begins to determine if there is probable cause to proceed to a disciplinary action. A Probable Cause Committee (PCC) is assigned to the case. The PCC consists of one Board Member, the Board Attorney, and the Executive Director. The Committee investigates to a legal and/or logical conclusion.

The investigative and disciplinary process is performed in accordance with the Alabama Administrative Procedure Act, § 41-22-1, et seq. of the <u>Code of Alabama</u>, to ensure fairness to the respondent while also enabling complaints to be thoroughly investigated.

Complaints substantiated through evidence obtained through the investigative process will go to the Board for final vote with the Board Member serving on the PCC abstaining. This is done to protect the due process right of the respondent so that there is a fair and impartial decision by the Board. It is for this same reason that Board Members cannot discuss cases that may appear before the Board for a vote

Following a Board vote, Disciplinary Actions are posted online <a href="here">here</a>, published in BOA Newsline, and reported to NCARB. The complaint form and additional information on filing a complaint can be found <a href="here">here</a>. In addition, the Board office stands ready to discuss any inquiries regarding making a complaint or the investigative/disciplinary process and can be contacted here.

#### **Disciplinary Actions**



Penalties for violations of the Board of Architects License Law and Rules vary depending upon the particular facts and circumstances present in each case. Disciplinary Actions are also posted at <a href="https://www.boa.alabama.gov">www.boa.alabama.gov</a> and reported to NCARB upon conclusion.

Name: David Bockian License Number: 6089

Date: 11/01/2019

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07.

**Disposition**: Fined \$200

Name: Timothy Burney License Number: 3927

**Date**: 11/01/2019

Violation: Non-Compliance with CE Audit - 100-X-.05(7); 100-X-3-.06; 100-X-3-.07;

 $\S 34-2-34$  (3) (d) and 100-X-7-.05 (7).

Disposition: Fined \$3500

Name: James Kacena License Number: 6899

**Date**: 11/01/2019

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

Disposition: Fined \$100

Name: John Kamus License Number: 7912

Date: 11/01/2019

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

**Disposition**: Fined \$100

Name: James Myers License Number: 3370

Date: 11/01/2019

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

Disposition: Fined \$1200

Name: Charles Reagan License Number: 5593

Date: 11/01/2019

Violation: Non-Disclosure of Disciplinary Action - §34-2-34 (3) (d)

**Disposition**: Fined \$1500

Name: Andrew Woodward License Number: 7024

Date: 11/01/2019

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

Disposition: Fined \$1200

Name: Joseph M. Antunovich License Number: 7243

Date: 08/24/2021

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

**Disposition**: Fined \$900

Name: Robert Perrin Ehlinger License Number: 5161

Date: 08/24/2021

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

Disposition: Fined \$425

Name: Stephen P. Powell License Number: 5892

**Date**: 08/24/2021

Violation: Practicing with a Lapsed License - 100-X-2-.06

**Disposition**: Fined \$750

Name: Christopher B. Reebals License Number: 6744

Date: 08/24/2021

Violation: Practicing with a Lapsed License - 100-X-2-.06

Disposition: Fined \$500

Name: Joseph W. Rodrigues License Number: 8202

Date: 08/24/2021

Violation: Practicing with a Lapsed License - 100-X-2-.06

Disposition: Fined \$500

Name: Matthew Z. Steinberg License Number: 7544

Date: 08/24/2021

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

Disposition: Fined \$1200

Name: Hans D. Strauch License Number: 5803

Date: 08/24/2021

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

**Disposition**: Fined \$900

Name: William A. Wisdom License Number: 2061

Date: 08/24/2021

Violation: Practicing with a Lapsed License - 100-X-2-.06

Disposition: Fined \$500

Name: Thomas Bartoo License Number: 7824

Date: 10/15/2021

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

**Disposition**: Fined \$300

Name: Christopher Root License Number: 7809

Date: 10/15/2021

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

**Disposition**: Fined \$1200

Name: Ronald Thompson License Number: 3484

**Date**: 10/15/2021

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

**Disposition**: Fined \$1100

Name: Tianle Zhang License Number: 5620

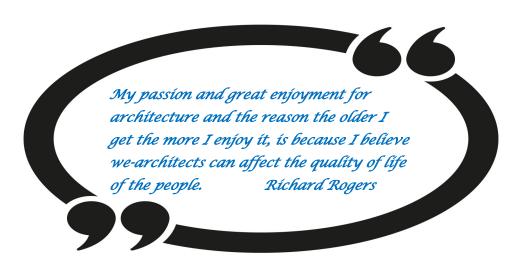
Date: 10/15/2021

Violation: Non-Compliance with CE Audit - 100-X-3-.06 and 100-X-3-.07

Disposition: Fined \$1200

# Statistical Snapshots Total Non-Residents Residents Registrants 847 2238 3,085 Non-Residents COA's Residents 212 906 694

**Quotable Quote** 





#### ALABAMA BOARD FOR REGISTRATION OF ARCHITECTS

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BOA Newsline is an official publication of the Alabama Board for Registration of Architects. This publication is intended for a wide audience to alert licensees to matters of possible procedural, legal, legislative, and regulatory interest. It should not be relied upon, nor is it intended to provide legal, insurance, or accounting advice. Licensees should consult their lawyers, insurance agents, and accountants before taking any action in response to this newsletter, as the opinions expressed herein may be completely altered by the licensee's actual facts.

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